



2664#

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)  
Total Number of Pages in this Submission 11

Application Number: 09/198,067  
Filing Date: 11/28/98  
First Named Inventor: Prince  
Group Art Unit: 2664  
Examiner: S.H.D. Nguyen  
Attorney Docket No.: 1400.9800940

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ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☒ Amendment/Response
- ☐ After Final
- ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Group (Appeal Notice, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Request for Corrected Filing Receipt
- ☐ Letter to Draftsperson
- ☐ Formal Drawings (after initial filing)
- ☐ Issue Fee Transmittal
- ☐ Request for Corrected Assignment
- ☒ Additional Enclosure(s) (please identify below):
- Return Receipt Postcard

Remarks:

|  |  |                  |
|--|--|------------------|
| Firm Name                                  | Ross D. Snyder & Associates, Inc.<br>115 Wild Basin Road, Suite 107<br>Austin, Texas 78746 |                  |
| Signature of Applicant, Attorney, or Agent |        |                  |
| Name and Registration No.:                 | Ross D. Snyder, Reg. No. 37,730  | Date: 12/10/2002 |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 12/10/2002

Ross D. Snyder  
Typed or Printed Name

  
Signature



# FREE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 168.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge  
Indicated fees and credit any over payments to:

|                        |                                   |
|------------------------|-----------------------------------|
| Deposit Account Number | 50-1566                           |
| Deposit Account Name   | Ross D. Snyder & Associates, Inc. |

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

| Large Entity Fee Code | Small Entity Fee Code  | Fee Description | Fee Paid |
|-----------------------|------------------------|-----------------|----------|
| 101 740 201 370       | Utility filing fee     |                 |          |
| 106 330 206 165       | Design filing fee      |                 |          |
| 107 510 207 255       | Plant filing fee       |                 |          |
| 108 740 208 370       | Reissue filing fee     |                 |          |
| 114 160 214 80        | Provisional filing fee |                 |          |

SUBTOTAL (1) (\$) \_\_\_\_\_

### 2. CLAIMS

| Claims        | Extra     | Fee from below | Fee Paid |
|---------------|-----------|----------------|----------|
| Total 21      | (-20 =) 0 | 0              |          |
| Indep. 6      | (-3 =) 2  | 84             | 168.00   |
| Multiple Dep. |           |                |          |

| Large Entity Fee Code | Small Entity Fee Code                                   | Fee Description |
|-----------------------|---|-----------------|
| 103 18 203 9          | Claims in excess of 20                                  |                 |
| 102 84 202 42         | Independent claims in excess of 3                       |                 |
| 104 280 204 140       | Multiple dependent claim                                |                 |
| 109 84 209 42         | Reissue independent claims over original patent         |                 |
| 110 18 210 9          | Reissue claims in excess of 20 and over original patent |                 |

SUBTOTAL (2) (\$) 168.00

Delete if Known

|                        |                    |
|------------------------|--------------------|
| Application Number     | 09/198,067         |
| Filing Date            | 11/28/98           |
| First Named Inventor   | Prince             |
| Group Art Unit         | 2664               |
| Examiner Name          | Steven H.D. Nguyen |
| Attorney Docket Number | 1400.9800940       |

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code  | Fee Description | Fee Paid |
|-----------------------|--|-----------------|----------|
| 105 130 205 65        | Surcharge - late filing fee or oath  |                 |          |
| 127 50 227 25         | Surcharge - late provisional filing fee or cover sheet.                    |                 |          |
| 139 130 139 130       | Non-English specification  |                 |          |
| 147 2,520 147 2,520   | For filing a request for reexamination                                     |                 |          |
| 112 920* 112 920*     | Requesting publication of SIR prior to Examiner action                     |                 |          |
| 113 1,840* 113 1,840* | Requesting publication of SIR after Examiner action                        |                 |          |
| 115 110 215 55        | Extension for reply within first month                                     |                 |          |
| 116 400 216 200       | Extension for reply within second month                                    |                 |          |
| 117 920 217 460       | Extension for reply within third month                                     |                 |          |
| 118 1,440 218 720     | Extension for reply within fourth month                                    |                 |          |
| 128 1,960 228 980     | Extension for reply within fifth month                                     |                 |          |
| 119 320 219 160       | Notice of Appeal   |                 |          |
| 120 320 220 160       | Filing a brief in support of an appeal                                     |                 |          |
| 121 280 221 140       | Request for oral hearing   |                 |          |
| 138 1,510 138 1,510   | Petition to institute a public use proceeding                              |                 |          |
| 140 110 240 55        | Petition to revive - unavoidable   |                 |          |
| 141 1,280 241 640     | Petition to revive - unintentional   |                 |          |
| 142 1,280 242 640     | Utility issue fee (or reissue)   |                 |          |
| 143 460 243 230       | Design issue fee   |                 |          |
| 144 620 244 310       | Plant issue fee  |                 |          |
| 122 130 122 130       | Petitions to the Commissioner  |                 |          |
| 123 50 123 50         | Petitions related to provisional applications                              |                 |          |
| 126 180 126 180       | Submission of Information Disclosure Stmt                                  |                 |          |
| 581 40 581 40         | Recording each patent assignment per property (times number of properties) |                 |          |
| 146 740 246 370       | Filing a submission after final rejection (37 CFR 1.129(a))                |                 |          |
| 149 740 249 370       | For each additional invention to be examined (37 CFR 1.129(b))             |                 |          |
| Other fee (specify)   |  |                 |          |
| Other fee (specify)   |  |                 |          |

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \_\_\_\_\_

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SUBMITTED BY ROSS D. SNYDER & ASSOCIATES, INC.

Complete (if applicable)

Typed or Printed Name Ross D. Snyder, Reg. No. 37,730

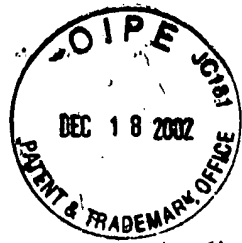
Signature

*Ross D. Snyder*

Date

12/10/02

Deposit Account User ID



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

12 B  
12/20/02  
mg

Applicant(s): Prince, et al.

Title: METHOD AND APPARATUS FOR ADAPTIVE SERVICE  
INTERWORKING

App. No.: 09/198,067

Filed: 11/28/98

Examiner: Steven H.D. Nguyen

Group Art Unit: 2664

Atty. Dkt. No. 1400.9800940

Assistant Commissioner for Patents  
Washington, D.C. 20231

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RESPONSE

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Dear Sir:

In response to the office action of 9/10/2002, please correct informalities in the claims of the above-identified application as follows:

In the Claims:

Please amend claims 9, 11, 18, and 20 as follows:

9. (Amended) A method for adaptive service interworking, the method comprises the steps of:

a) receiving a set-up message that identifies a receiving party;

B | b) interpreting the set-up message to determine whether a transparent link is to be established between a sending party and the receiving party, wherein the transparent link does not transform a data transport protocol to a network data transport protocol; and

c) when the transparent link is to be established, supporting the transparent link.

11. (Amended) A method for adaptive service interworking, the method comprises the steps of:

a) receiving a set-up message that identifies a receiving party;

B2

12/19/2002 WABDELRI 00000049 09198067 168.00 OP  
01 FC:1201